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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/748,050	12/30/2003	Pol O. Morain	D/A1633 (1508/3671)	6786
7590 Gunnar G. Leinberg, Esq. Nixon Peabody, LLP P.O. Box 31051 Rochester, NY 14603			EXAMINER RIMELL, SAMUEL G	
			ART UNIT 2164	PAPER NUMBER
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		01/23/2007	PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

**Office Action Summary**

Application No.

10/748,050

Applicant(s)

MORAIN ET AL.

Examiner

Sam Rimell

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.


**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

  
**SAM RIMELL**  
**PRIMARY EXAMINER**

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____.                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date ____.  | 6) <input type="checkbox"/> Other: ____.                          |

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35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-19 and 21-28 are rejected under 35 U.S.C. 101 because the claimed invention is inoperable and thus lacks utility.

Claims 1, 8 and 14: Each of claims 1, 8 and 14 include a negative limitation which exclude an "on-line session" with "good or services provider". Since the specification contains no discussions of what constitutes of on-line sessions, these sessions could be interpreted as referring to the communications between the claimed first device and the claimed digital content storage. Additionally, the first device is in fact a service provider since it provides digital content for consumption. Accordingly, *the newly added negative limitations appear to negate features which are required limitations*. Since the claimed invention cannot function by simultaneously having and negating these features, the invention is inoperable as claimed.

Claims 2-7, 9-13, 15-19 and 21-28: Depend from claims 1, 8 or 14.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-19 and 21-28 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

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Claims 1, 8 and 14: Each of claims 1, 8 and 14 have been amended to recite a negative limitation which calls for the selection of a file that “..does not involve an online session with a goods or service provider..” The original disclosure contains no suggestion of such an exclusion, and therefore the exclusion is new matter. MPEP 2173.05(i) states that:

*Any negative limitation or exclusionary proviso must have basis in the original disclosure. The mere absence of a positive recitation is not basis for an exclusion. Any claim containing a negative limitation which does not have basis in the original disclosure should be rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement.*

Since the original disclosure contains no suggestion of the exclusion, it is new matter under 35 USC 112, first paragraph.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made

Claims 20-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Suzuki et al. (U.S. Patent 6,466,915) in view of Henrick (U.S. Patent 6,507,727).

Claims 20-22: FIG. 1 illustrates a first device in the form of a terminal (200).

The terminal is a computer terminal and thus inherently includes a digital content storage system in the form of an internal memory.

The graphical user interface of FIG. 25 is a monitoring system that appears on the terminal and monitors the selection of specific files, such as the selection of “flower pattern one-

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piece dress”. Making the selection generates usage data, such as color, size, price and quantity purchased, which are subsequently sent to the central processing center (100) in Fig. 1.

The data fields, such as the data fields containing the named color “pink” and the price “6.800” are the usage data storage system since these fields store the usage data until it is sent to the central processing center (100) in Fig. 1.

FIG.11 illustrates a table which forms the usage metrics system. The table permits inferred conclusions, such as the conclusion that a customer prefers a particular size, as illustrated at C in FIG. 7C and described at col. 18, lines 1-6. The table of FIG. 11 is located in the order reception file (3) (col. 13, lines 66-67) which is part of the central processing center (100) and forms a second device remote from the first device (terminal 200).

FIGS. 5A-5C of Henrick illustrate a portable device which can download digital audio content, such as a song file (“download song”). The portable device is thus a digital audio player. The digital content can be purchased (abstract, line 1).

It would have been obvious to one of ordinary skill in the art to modify the terminal (200) of Suzuki et al. to be a portable cellular terminal configured to additionally download audio content as taught by Henrick so as to permit portability of the terminal and permit both physical items (clothing) and digital content (songs) to be purchased from the same system.

#### Remarks

With respect to claims 1-19 and 23-28, applicant’s arguments are moot in light of the new grounds of rejection, necessitated by amendment.

Claim 20 was previously rejected under 35 USC 103(a), so merely re-writing claim 20 into independent form creates no factual basis for overcoming the rejection under 35 USC

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103(a). Applicant has additionally argues that the combination of Henrick and Suzuki allegedly do not teach the monitoring of consumption of an audio file. However, this argument is not correct. Suzuki teaches a graphical interface that monitors the selection of files. The selection and viewing of a file by a user is considered to be the “consumption” of that file, as viewing is the only logical manner in manner in which a file of image information could be “consumed”. Henrick teaches that audio files can be downloaded for consumption by listening, instead of or in addition to the downloading and consumption of the viewable files in Suzuki. There is no requirement under 35 USC 103 that Henrick “re-teach” or duplicate the features already taught in Suzuki, such as the monitoring system.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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Any inquiry concerning this communication should be directed to Sam Rimell at telephone number (571) 272-4084.



Sam Rimell  
Primary Examiner  
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